FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
/ ' %\	Clark E. Smith et	al.
WH 1 LIME SE	Filing Date	Group Art:
	March 18, 2004	

Exami Init				Date Name		Sub Class	Filing Date If Appropriate
YF	AA	2,514,745	7/11/50	Dalzell	171	95	
YF	AB	3,593,099	7/13/71	Scholl	320	13	
YF	AC	3,607,673	9/21/71	Seyl	204	1 .	
YF	AD	3,676,770	7/11/72	Sharaf et al.	324	29.5	
YF	ΑE	3,729,989	5/1/73	Little	73	133	
YF	AF	3,753,094	8/14/73	Furuishi et al.	324	29.5	
YF	AĢ	3,808,522	4/30/74	Sharaf	324	29.5	
YF	AH	3,811,089	5/14/74	Strezelewicz	324	170	
YF	AI	3,873,911	3/25/75	Champlin	324	29.5	
YF	AJ	3,886,443	5/27/75	Miyakawa et al.	324	29.5	
YF	AK .	3,889,248	6/10/75	Ritter	340	249	
YR	AL	3,906,329	9/16/75	Bader	320	44	

# FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No					
YF	AM	59-17892	1/30/84	Japan			Abstract Only					
YF	AN	59-17893	1/30/84	Japan			Abstract Only					
YF	AO	59-17894	1/30/84	Japan			Abstract Only					
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)											
YF	AP	"Electrochemical I Batteries Internat	mpedance Speional, Apri	ectroscopy in Battery 1 1997, pgs. 59 and 6	Develop	ment and	Testing",					
YF	AQ	"Battery Impedance 1959, pgs. 922-925		llihnganz et al., <u>Ele</u>	ctrical I	Engineer	ing, September					
YF	AR .	"Determining The End of Battery Life", by S. DeBardelaben, <u>IEEE</u> , 1986, pgs. 365-368.										
YF	AS	"A Look at the Imp 397.	"A Look at the Impedance of a Cell", by S. Debardelaben, <u>IEEE</u> , 1988, pgs. 394-397.									

DATE CONSIDERED: 12/15/2006 EXAMINER:

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
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Examiner Initial				Name	Class	Sub Class	Filing Date If Appropriate
YF	AT .	3,909,708	9/30/75	Champlin	324	29.5	
YF	AU	3,936,744	2/3/76	Perlmutter	324	158	
YF	AV	3,946,299	3/23/76	Christianson et al.	320	43	
YF	WA	3,947,757	3/30/76	Grube et al.	324	28	
YF	AX	3,969,667	7/13/76	McWilliams	324	29.5	
YF	AY	3,979,664	9/7/76	Harris	324	17	
YF	AZ	3,984,762	10/5/76	Dowgiallo, Jr.	324	29.5	
YF	BA	3,984,768	10/5/76	Staples	324	62	
YF	BB	4,008,619	2/22/77	Alcaide et al.	73	398	
YF	BC	4,053,824	10/11/77	Dupuis et al.	324	29.5	
YF	BD	4,070,624	1/24/78	Taylor	327	158	
YF	BE	4,086,531	4/25/78	Bernier	324	158	

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-		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	BF	60225078	11/9/85	Japan			Abstract Only
VR	BG	04372536	12/25/92	Japan			Abstract Only
YF		04131779	5/6/92	Japan		·	Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF	ВІ	"The Impedance of Electrical Storage Cells", by N.A. Hampson et al., <u>Journal of Applied Electrochemistry</u> , 1980, pgs. 3-11.
YF	вј	"A Package for Impedance/Admittance Data Analysis", by B. Boukamp, Solid State Ionics, 1986, pgs. 136-140.
YF	вк	"Precision of Impedance Spectroscopy Estimates of Bulk, Reaction Rate, and Diffusion Parameters", by J. Macdonald et al., <u>J. Electroanal, Chem.</u> , 1991, pgs. 1-11.

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et al	
	Filing Date	Group Art:
	March 18, 2004	

Exami Init		Document No.	Date	Date Name		Sub Class	Filing Date If Appropriate
YF	BL	4,112,351	9/5/78	Back et al.	324	16	
YE	вм	4,114,083	9/12/78	Benham et al.	320	39	·
YF	BN	4,126,874	11/21/78	Suzuki et al.	354	60	
ΥF	BO ·	4,178,546	12/11/79	Hulls et al.	324	158	·
YF		4,193,025	3/11/80	Frailing et al.	324	427	
YF	BQ	4,207,611	6/10/80	Gordon	364	580	
YF	BR	4,315,204	2/9/82	Sievers et al.	322	28	
YF	BS	4,316,185	2/16/82	Watrous et al.	340	636	
YF	BT	4,322,685	3/30/82	Frailing et al.	324	429	
YF	BU	4,363,407	12/14/82	Barkler et al.	209	3.3	
YF	вv	4,369,407	1/18/83	Korbell	324	416	·
YF	BW	4,412,169	10/25/83	Dell'Orto	320	64	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	вх	03282276	12/12/91	Japan			Abstract Only
YF	ву	03274479	12/5/91	Japan			Abstract Only
YF	BZ	5216550	8/27/93	Japan			Abstract Only
YF	CA	59017894	1/30/84	Japan .			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

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YF	"Internal Resistance: Harbinger of Capacity Loss in Starved Electrolyte Sealed Lead Acid Batteries, by Vaccaro, F.J. et al., <a href="AT&amp;T Bell Laboratories">AT&amp;T Bell Laboratories</a> , 1987 IEEE, Ch. 2477, pp. 128,131.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

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FORM I	PTO-14	49					Atty. Docket No.: Serial No.: 10/804,402				
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT						Applicant:				
							Cla	rk E. Smi	th et al.		
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Exam: Init		Document No.	Dat	е		Name		Class	Sub Class	Filing If Appro	
YF	СС	4,390,828	6/28/	33	Conve	rse et al.	_	320	32		
YF	CD	4,392,101	7/5/8	3	Saar	et al.		320	20		
YF	CE	4,396,880	8/2/8	3	Windel	bank		320	21		
YF	CF	4,408,157	10/4/	83	Beaub	ien		324	62		
YF	CG	4,423,378	12/27	/83	Marin	o et al.		324	427		
YF	СН	4,423,379	12/27	/83	Jacob	s et al.		324	429		-
YF	CI	4,424,491	1/3/8	4	Bobbe	tt et al.		324	433		<del>_</del>
YF	CJ	4,459,548	7/10/	84	Lentz	et al.	,	324	158		
YF	СК	4,514,694	4/30/	85	Finge	r			429		
YF	CL	4,520,353	5/28/	85	McAuliffe		340	636			
YF	СМ	4,667,279	5/19/	87	Maier	er		363	46		
YF	CN	4,678,998	7/7/8	7 .	Muram	atsu		324	427		
YF	со	4,679,000	7/7/8	7 ·	Clark			324	428		
YF	CP	4,697,134	9/29/	87	Burku	m et al.		320	. 48		
	·	Y .		FC	REIGN	PATENT DOCUMENT	TS				
		Document		D	ate	Country		Clas	Sub Class	Trans. Yes	lation No
YF	CQ	EP 0 772 056	5 A1	5/7,	/97	EPO	,				X
YF	CR	EP 0 637 754	A1	2/8	/95	EPO				X	·
YF	CS	2 088 159 A	•	6/3		Great Britain				Х	
	-	OTHER ART	Includ	ing P	uthor,	Title, Date,	Pert.	inent Page	es, Etc.)		
YF	CT.	Lead Storage	Batte:	ries	for Ger	Maintenance, T nerating Statio gineers, Inc.,	ons a	and Substa	ations, Th	ne Instit <u>u</u>	te of
YF	CU	Lead Acid Ba	tterie	s: Pa	rt I Co	to Assess the onductance/Capa 92, pgs. 218-23	acity	te of Heal y Correlat	th of Val	lve-Regula les", by D	ted
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	Clark E. Smith et al.		
	Filing Date	Group Art:	
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## U.S. PATENT DOCUMENTS

Name

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**EXAMINER:** 

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Date

YF	cv	4,707,795	11/17	/87	Alber	et al.	364		550	
YF	CW	4,709,202	11/24	/87	Koenc	k et al.	320		43	
YF	сх	4,710,861	12/1/	87	Kanne	r	363		46	
YF	CY	4,719,428	1/12/	88	Liebe	rmann	324		436	
YF	CZ	4,743,855	5/10/	88	Randi	n et al.	324		430	
YF	DA	4,816,768	3/28/	89	Champ	lin	324		428	
YF	DB	4,820,966	4/11/	89	Fridm	an	320		32	
YF	DC	4,825,170	4/25/	89	Champ	lin	324		436	
YF	DD	4,849,700	7/18/	89	Morio	ka et al.	324		427	
YF	DE	4,881,038	11/14	11/14/89		Champlin			426	
YF	DF ·	4,912,416	3/27/90		Champlin		324		430	
٧¥	DG	4,929,931	5/29/	5/29/90		McCuen			636	•
YF	DH	4,931,738	6/5/9	0	MacIntyre et al.		324		435	
YF	DI	4,947,124	8/7/9	0	Hause	Hauser			430	·
YF	DJ	4,956,597	9/11/	90	Heave	y et al.	320		14	
YF	DK	4,968,941	11/6/	90	Roger	s	324		428	
				FO	REIGN	PATENT DOCUMENTS				
•		Document	No.	D	ate	· Country	Cla	ss	Sub Class	Translation Yes No
YF	DL	WO 93/22666		11/1	1/93	WIPO				X
YF	DM	WO 98/58270	98/58270 / 12/2		23/98	WIPO				Х .
		OTHER ART (	Includ	ing A	uthor,	Title, Date, Pert.	inent Pa	ges,	Etc.)	
YF	DN	"Battery Imp 1959, pgs. 9			E. Wi	llihnganz et al., <u>F</u>	Electric	al E	ngineeri	ing, September
YF	DO	"JIS Japanes Standards As	"JIS Japanese Industrial Standard-Lead Acid Batteries for Automobiles", <u>Japanese</u> Standards Association UDC, 621.355.2:629.113.006, Nov. 1995.							

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	March 18, 2004		

Examiner Initial		Document No.	Date			Name	Class	Sub Class	Filing Date If Appropriate
YF	DP	5,004,979	4/2/91			Marino et al.		160	
YF	DQ	5,032,825	7/16/9		Xuzni		324 340	636	
	DR	5,047,722	9/10/9		<b></b>	et al.	324	430	
YF	DS	5,087,881	2/11/9		Peaco		324	378	
YF			<del>├</del>			CK	324	435	
YF	DT	5,126,675	6/30/9		Yang		<del></del>		
YF	DÜ	5,140,269	8/18/9	2	Champ	lin	324	433	
ΥF	.DV	5,144,248	9/1/92		Alexa	ndres et al.	324	428	
YF	DW	5,170,124	12/8/9	2	Blair	et al.	324	434	
YF	DX	5,204,611	4/20/9	4/20/93		Nor et al.		- 21	
YF	DY	5,214,370	5/25/9	5/25/93		Harm et al.		35	
YF	DZ	5,214,385	5/25/9	5/25/93		Gabriel et al.		434	
YF	ĒΑ	5,241,275	8/31/9	8/31/93		Fang		430	,
YF	EB	5,254,952	10/19/	10/19/93		Salley et al.		429	
YF	EC	5,281,919	1/25/9	4	Palanisamy		324	427	
YF	ED	5,281,920	1/25/9	4	Wurst		324	430	
YF	EE	5,295,078	3/15/9	4	Stich	et al.	364	483	
YF	EF	5,298,797	3/29/9	4	Redl		307	246	
YF	EG	5,300,874	4/5/94		Shima	moto et al.	320	15	
YF	EH	5,302,902	4/12/9	4	Groeh	1	324	434	
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		Document	No.	D	ate	Country	Class	Sub Class	Translation Yes No
YF	EI								
		OTHER ART	Includi	ng A	uthor,	Title, Date, Pert	inent Page	es, Etc.)	
YF	EJ								

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Examiner Initial		Document No.	. Dat	е		Name	Class	Sub Class	Filing Date If Appropriate
YF	EK	5,331,268	7/19/9	/19/94		o et al.	320	20	:
YF	EL	5,336,993	8/9/94	4	Thoma	s et al.	324	158.1	
YF	EM	5,352,968	10/4/9	94	Reni	et al.	320	.35	
YF	EN	5,343,380	8/30/9	94	Champ	lin	363	46	
YF	EO	5,365,160	11/15	/94	Leppo	et al.	320	22	
YF	EP	5,365,453	11/15	/94	Start	up et al.	364	481	
YF	EQ	5,381,096	1/10/9	95	Hirze.	1	324	427	
YF	ER	5,426,371	6/20/9	95	Salle	y et al.	324	429	
YF	ES	5,432,426	7/11/9	95	Yoshida		320	20	
YF	ET	5,434,495	7/18/9	95	Toko		320	44	
YF	EU	5,442,274	8/15/9	/15/95		Tamai		23	
YF	EV	5,457,377	10/10/	/95	Jonsson		320	5	
YF	EW	5,449,996	9/12/9	95	Matsumoto et al.		320	20	
YF	EX	5,449,997	9/12/9	95	Gilmore et al.		320	39	
YF	EY	5,485,090	1/16/9	96	Steph	ens	324	433	
YF	EZ	5,519,383	5/21/9	96	De La	Rosa	340	636	
YF	FA	5,528,148	6/18/9	96	Roger	s	324	426	
YF	FB	5,550,485	8/27/9	96	Falk		324	772	
YF	FC	5,561,380	10/1/9			Tin et al.	324	509	
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Exam: Init		Document No.	Date		Name	Class	Sub Class	Filing Date If Appropriate
YF	FF	5,562,501	10/8/96	Kinos	shita et al.	439	852	
YF	FG	5,572,136	11/5/96	Champ	olin	324	426	
YF	FH	5,574,355	11/12/96	McSha	ne et al.	320	39	
YF	FI	5,585,728	12/17/96	Champ	olin	324	427	
YF	FJ	5,592,093	1/7/97	Kling	biel	324	426	
YF	FK	5,596,260	1/21/97	Morav	rec et al.	320	30	
YF	FL	5,598,098	1/28/97	Champ	olin	324	430	
YF	FM	5,602,462	2/11/97	Stich	et al.	323	258	·
YF	FN	5,606,242	2/25/97	Hull	et al.	320	48	
YF	FO	5,621,298	4/15/97	Harve	;y	320	·5	
YF	FP	5,642,031	6/24/97	Brott	.0	320	21	
YF	FQ	5,650,937	7/22/97	Bouna	ga .	364	483	
YF	FR	5,652,501	7/29/97	McClu	re et al.	320	17	
YF	FS	5,675,234	10/7/97	Green	ie .	320	15	
YF	FT	5,677,077	10/14/97	Faulk		429	90	
YF	FU	5,699,050	12/16/97	Kanaz	awa	340	636	
YF	FV	5,701,089	12/23/97	Perki	ns	327	772	
YF	EW	5,717,336	2/10/98	Basel	l et al.	324	430	
YF	FX	5,757,192	5/26/98		ne et al.	324	427	
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		Document	No.	Date	Country	Class	Sub Class	Translation Yes No
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	Examiner Document Initial No. Date			Name	Class	Sub Class	Filing Date If Appropriate		
			<del> </del>				<del> </del>		11 Appropriace
YF	GA.	5,773,978	6/30/	98	Becke	r ·	324	430	
YF	GB	5,789,899	8/4/9	8	van P	huoc et al.	320	30	
YF	GC	5,793,359	8/11/	98	Ushik	ubo.	345	169	
YF	GD	5,821,756	10/13	/98	McShai	ne et al.	324	430	
YF	GE	5,831,435	11/3/	98	Troy		324	426	
YF	GF	5,872,443	2/16/	99	Willia	amson	320	21	
YF	GG	5,914,605	6/22/	99	Bertn	ess	324	430	
YF	GĤ	5,945,829	8/31/	8/31/99		Bertness		430	·
YF	GI	3,356,936	12/5/67		Smith		324	29.5	
YF	GJ	5,488,300	1/30/	1/30/96		son	324	432	
YF	GK	6,002,238	12/14	12/14/99		Champlin		134	
YF	GL	6,037,777	3/14/	00	Champlin		324	430	
YF	GM	6,051,976	4/18/	00	Bertness		324	426	
YF	GN	6,081,098	6/27/	00	Bertn	ess et al.	320	134	·
YF	GO	6,091,245	7/18/	00	Bertn	ess	324	426	
YF	GP	6,104,167	8/15/	00	Bertn	ess et al.	320	132	
YF	GQ	6,137,269	10/24			lin	320	150	
				FC	REIGN	PATENT DOCUMENTS			
		Document	No.	o. Date		Country	Clas	Sub S Class	Translation Yes No
YF	GR	DE 29 26 716	5 B1 ,	1/1	5/81	Germany			. X
	65	0 022 450 71	· · · · · · · · · · · · · · · · · · ·	1/2	/01	EBO			Y X

YF | GS | 0 022 450 A1 | 1/21/81

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF	GT	"Performance of Dry Cells", by C. Hambuechen, Preprint of Am. Electrochem. Soc., April 18-20, 1912, paper No. 19, pgs. 1-5.
YF	GU	"A Bridge for Measuring Storage Battery Resistance", by E. Willihncanz, The Electrochemical Society, preprint 79-20, April 1941, pgs. 253-258.

12/15/2006 DATE CONSIDERED: **EXAMINER:** /Yalkew Fantu/

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:				
• •	Clark E. Smith et a.	1.			
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Exam: Init		Document No.	Date	Name	Class	:Sub Class	Filing Date If Appropriate
YF	GV	3,562,634	2/9/71.	Latner	31	04	
YF	GW	4,379,990	4/12/83	Sievers et al.	322	99	
YF	GX	5,144,218	9/1/92	Bosscha	320	44	
YF	GY	5,315,287	5/24/94	Sol	340	455	
YF	GZ	5,469,043	11/21/95	Cherng et al.	320	31	
YF	на	5,546,317	8/13/96	Andrieu	364	481	
YF	нв	5,583,416	12/10/96	Klang	320	22	
YF	HC	5,589,757	12/31/96	Klang	320	22	
YF	НD	5,656,920	8/12/97	Cherng et al.	320	31	;
YF	HE	5,710,503	1/20/98	Sideris et al.	320	6	
YF	HF	5,760,587	6/2/98	Harvey	324	434	
YF	НG	5,808,469	9/15/98	Kopera	324	43.4	
YF	нн	6,008,652	12/28/99	Theofanopoulos et al.	324	434 -	
YF	HI	6,009,369	12/28/99	Boisvert et al.	701	99	
YF	НJ	6,031,354	2/29/00	Wiley et al.	320	116	
YF	НĶ	6,037,751	3/14/00	Klang	320	160	
YF	HL	6,072,299	6/6/00	Kurie et al.	320	112	
YF	НМ	6,094,033	.7/25/00	Ding et al.	320	132	
YF	HN	6,163,156	12/19/00	Bertness	324	426	
YF	НО	6,172,483	1/9/01	Champlin	320	134	
YF	HP	6,172,505	1/9/01	Bertness	324	430	

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	НQ	2 749 397	12/5/97	France			х
YF.	HR	59215674	12/5/84	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et al.	
	Filing Date	Group Art:
	March 18, 2004	
U.S. PATENT DOCUMENTS	3	

Exam Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
YF	нт	3,989,544	11/2/76	Santo	429	65	
YF	нυ	4,745,349	5/17/88	Palanisamy et al.	320	22	
YF	HV	4,876,495	10/24/89	Palanisamy et al.	320	18	
YF	HW	4,937,528	6/26/90	Palanisamy	324	430	·
YF	нх	4,968,942	11/6/90	Palanisamy	324	430	
YF	HY	5,179,335	1/12/93	Nor	320	21	·
YF	HZ	5,266,880	11/30/93	Newland	320	14	
YF	IA	5,451,881	9/19/95	Finger	324	433	·
YF	IB	5,705,929	1/6/98	Caravello et al.	324	430	
YF	IC	5,717,937	2/10/98	Fritz	395	750.01	
YF	ID	5,862,515	1/19/99	Kobayashi et al.	702	63	
YF	IE	5,895,440	4/20/99	Proctor et al.	702	63	
YF	IF	5,929,609	7/27/99	Joy et al.	322	25	
YF	IG	6,072,300	6/6/00	Tsuji	320	.116	
YF	IH	4,659,977	4/21/87	Kissel et al.	320	64	
YF	II	4,913,116	4/3/90	Katogi et al.	123	425	
YF	IJ	5,160,881	11/3/92	Schramm et al.	322	7	
YF	IK	5,338,515	8/16/94	Dalla Betta et al.	422	95	
YF	IL	5,537,967	7/23/96	Tashiro et al.	123	792.1	
YF	IM	5,548,273	8/20/96	Nicol et al.	340	439	
YF	IN	5,747,909	5/5/98	Syverson et al.	310	156	

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	10	62-180284	8/7/87	Japan			Abstract
YF	ΙP	7-128414	5/19/95	Japan			Abstract

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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FORM I	PTO-14	49 			Atty. Docket No.: Serial No.: 10/804,402				
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L				U.S. PATENT DOCUMENTS	3				
Exam: Init		Document No.	Date	Name		Class	Sub Class	Filing Date If Appropriate	
YF	IR	6,114,834	9/5/00	Parise		320	109		
YF	IS	6,150,793	11/21/00	Lesesky et al.		320	104		
YF	IT	3,876,931	4/8/75	Godshalk		324	29.5	·	
YF	IU	5,347,163	9/13/94	Yoshimura		307	66		
YF	IV	5,939,861	8/17/99	Joko et al.					
YF	IW	5,339,018	8/16/94	Brokaw		320	35		
YF	IX	5,412,323	5/2/95	Kato et al.		324	429		
YF	ΙŸ	5,426,416	6/20/95	Jefferies et al.		340	664		
YF	IZ	5,825,174	10/20/98	Parker		324	106		
YF	JA	5,939,855	8/17/99 <sup>.</sup>	Proctor et al.		320	104	•	
YF	JB	5,254,952	10/19/93	Salley et al.		324	429		
YF	JC.	4,217,645	8/12/80	Barry et al.		364	483		
YF	JD.	4,633,418	12/30/86	Bishop		364	554		
YF	JE	5,321,626	6/14/94	Palladino		364	483		
YF	JF	5,969,625	10/19/99	Russo		340	636		
YF	JG	6,222,369	4/24/01	Champlin		324	430		
YF	JH	6,225,808	5/1/01	Varghese et al.		324	426		
YF	JI	6,249,124	6/19/01	Bertness		324	426		

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	JN	4-8636	1/13/92	Japan			X (Abstract)
YF	JO	. 63027776	2/5/88	Japan			Abstract Only

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Klang

Champlin

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6,259,254

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	T.	IST OF PATENT:	ם מועם	onar.Ta	TATIONS	FOR		Appl	licant:		
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								Fili	ing Date	· · · · · ·	Group Art:
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YF	JP	4,379,989	4/12/	83	Kurz	et al.	•		320	26	
YF	JQ	4,680,528	7/14/	87	Mikam	i et a	1.		320	32	
YF	JR ·	5,633,985	5/27/	97	Sever	son et	al.		395	2.76	
YF	JS	5,739,667	4/14/	98	Matsu	da et	al.		320	5	
YF	JT	4,024,953	5/197	7	Nailo	r, III			206	344	
YF	JU	6,250,973	6/200	1	Lower	y et a	1.		439	763	
YF	ΔV	6,254,438	7/200	7/2001 Gaunt				439	755		
YF	JW	6,304,087	10/16	)/16/01 Bertness				324	426.		
YF	JX	6,310,481	10/30	10/30/01 Bertess		ss			324	430	
YF	JY	6,313,607	11/6/	11/6/01 Champlin		lin			320	132	
YF	JZ	6,313,608	11/6/	1/6/01 Varghese et		ese et	al.		32	132 .	
YF	KA	6,316,914	11/13	/01	Bertness				320	134	
YF	KB	5,435,185	7/25/	95	Eagan				73	587	
YF	KC	5,445,026	8/29/	95	Eagan				73	591	
YF	KD	4,667,143	5/19/	87	Coope	r et a	1.		320	22	
YF	KE	4,847,547	7/11/	89	Eng,	Jr. et	al.		320	35	
YF	KF	5,574,355	11/12	/96	McSha	ne et	al.		320	39	
YF	KG	4,663,580	5/5/8	7	Wortm	an			320	35	
				FO	REIGN	PATENT	DOCUMENT	rs			
		Document	No.	D	ate		Country		Class	Sub Class	Translation Yes No
YF	кн	WO 99/23738		5/14	/99	WIPO					х
YF	KI	WO 94/05069		3/3/		PCT					x
		OTHER ART (	Includ	ing A	uthor,	Title	, Date, I	Perti	nent Page	s, Etc.)	· · · ·
YF	КJ	National Semiconductor Corporation, "High Q Notch Filter", 3/69, Linear Brief 5, March 1969.						inear Brief 5,			
YF	KK	Burr-Brown C 071, 1994.	orpora	tion,	"Desi	gn A 60	Hz Noto	ch Fi	lter with	the UAF42	2", 1/94, AB-
YF	KL	National Sem 12/94, RRD-B					"LMF90-4	l <sup>th</sup> -Or	der Ellip	otic Notch	Filter",
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et a	1.
	Filing Date	Group Art:
	March 18, 2004	

L	U.S. PATENT DOCUMENTS										
Exam: Init		Document No:	Date	/ Name	Class	Sub Class	Filing Date If Appropriate				
YF	КМ	6,323,650	11/27/01	Bertness et al.	324 .	426					
YF	KN	6,329,793	12/11/01	Bertness et al.	320	132					
YF	ко	6,331,762	.12/18/01	Bertness	320	134					
YF	KP	6,332,113	12/18/01	Bertness	702	63					
YF	KQ	4,297,639	10/27/81	Branham	324	429					
YF	KR	5,653,659	8/5/97	Kunibe et al.	477	111					
YF	KS	6,161,640	12/19/00	Yamaguchi	180	65.8					
YF	KT	4,351,405	6/28/82	Fields et al.	180	65					
YF	KU	6,359,441	3/16/02	Bertness	324	426					
YF	KV	4,047,091	9/1997	Hutchines et al.	363	59	•				
YF	KW	5,653,659	8/1997	Kunibe et al.	477	111					
YF	кх	5,637,978	6/1997	Kellett et al.	320	2					
YF	ку	5,095,223	3/1992	Thomas	307	110					
YF	KZ	5,194,799	3/1993	Tomantschger	320	2					
YF	LA	5,754,417	5/1998	Nicollini	363	60					
YF	LB										

		Document No.	Date	Country	Class	Sub Class	Translation Yes No			
YF	LC									
YF	LD									
		OTHER ART (Includ	ling Author,	Title, Date, Perti	nent Pages.	, Etc.)				
YF	LE	"Alligator Clips w downloaded from ht	"Alligator Clips with Wire Penetrators" J.S. Popper, Inc. product information, downloaded from http://www.jspopper.com/, undated							
YF	LF	"#12: LM78S40 Sim from http://www.po	"#12: LM78S40 Simple Switcher DC to DC Converter", <a href="ITM e-Catalog">ITM e-Catalog</a> , downloaded from http://www.pcbcafe.com, undated							
YF	LG	"Simple DC-DC Converts Allows Use of Single Battery", Electronix Express, downloaded from http://www.elexp.com/t_dc-dc.htm, undated								

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	Clark E. Smith et a	1.
	Filing Date	Group Art:
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U.S. PATENT DOCUMENTS Document Sub Filing Date Examiner Name Class Class If Appropriate Date Initial No. YF 5,818,234 10/1998 McKinnon 324 433 LH 320 105 10/2000 LI 6,140,797 Dunn YF 361 502 1/2001 Amatucci et al. LJ 6,181,545 YF 136 320 6,346,795 2/2002 Haraguchi et al. LK YF 439 488 2/2002 Tsai YF LM 6,347,958 139 2/26/02 Troy 320 LN 6,351,102 YF 324 426 YF 6,359,441 3/19/02 Bertness LO 3/26/02 701 29 YF LP 6,363,303 Bertness 216 437 LQ 5,037,778 8/6/91 Stark et al. YF YF 11/7/00 Dougherty et al. 320 132 LR 6,144,185 YF 414 786 1/27/98 LS 5,711,648 Hammerslag 7/27/99 414 809 LT 5,927,938 Hammerslag YF YF 414 398 9/14/99 LU 5,951,229 Hammerslag YF 701 29 LV 5,961,561 10/5/99 Wakefield, II 709 229 YF 10/5/99 Anderson et al. LW 5,961,604 YF 340 825.06 5/22/01 Conkright et al. LX 6,236,332 YF 2/26/02 320 139 LY 6,351,102 Troy YF 324 434 LZ 10/13/98 Alvarez et al. 5,821,757 702 YF MA 6,167,349 12/26/00 Alvarez 63

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	MB						
	<u></u>	OTHER ART (Includ	ling Author,	Title, Date, Pertine	nt Pages	, Etc.)	
YF	мс	"DC-DC Converter E http://www.poweder DC/converter.shtm,	designers.c	er <u>Designers</u> , downloa om/InforWeb.design_ce	ded from nter/art	icles/DC-	
	MD				•		
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Initial if citation considered, whether or not citation is in conformance with MPEP **EXAMINER:** 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Clark E. Smith et al.
Filing Date
March 18, 2004

Serial No.:
10/804,402

Clark E. Smith et al.
Filing Date
March 18, 2004

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	iner tial	Document No.			Class	Sub Class	Filing Date If Appropriate
YF	YF ME 4,665,370 5/1987		5/1987	Holland	324	429	
YF	MF	4,361,809	11/1982	Bil et al.	324	426	
YF	MG	6,392,414	5/21/02	Bertness	324	429	
YF	МН	6,417,669	7/9/02	Champlin	324	426	
YF	MI	6,424,158	7/23/02	Klang	324	433	
YF	MJ	6,441,585	8/17/02	Bertness	320	132	3
YF	MK	6,445,158	9/3/02	Bertness et al.	320	104	
YF	ML	6,384,608	5/7/02	Namaky	324	425	
YF	MM	6,388,448	5/14/02	Cervas	324	426	
YF	MN	6,307,349	10/23/01	Koenck et al.	320	112	
YF	МО	6,411,098	6/25/02	Laletin	324	436	
YF	MP	6,456,045	9/24/02	Troy et al.	. 320	139	
YF	MQ	6,466,025	10/15/02	Klang	324	429	
YF	MR	6,466,026	10/15/02	Champlin	324	430	
YF	MS	4,564,798	1/14/86	Young	320	٠ 6	
YF	MT	5,563,496	10/8/96	McClure	320	48	
YF	MU	5,796,239	8/18/98	van Phuoc et al.	320	107	

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·		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	MV	RU 2089015-C1	8/27/97	Russia			Abstract Only
YF	MW	09061505	3/7/97	Japan			Abstract Only
YF	MX	10056744	2/24/98	Japan			Abstract Only

YF	MY	"Notification Declaration",	of Transmittal PCT/US02/29461.	of	The	International	Search	Report	or	the
YF	MZ	"Notification Declaration",	of Transmittal PCT/US03/07546.	of	The	International	Search	Report	or	the

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	Clark E. Smith et a	1.
	Filing Date	Group Art:
	March 18, 2004	·

Exam: Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
YF	NA	4,888,716	12/19/89	Ueno	364	550	
YF	NB	6,495,990 B2	12/17/02	Champlin	320	132	
YF	NC	4,385,269	5/24/83	Aspinwall et al.	320	14	
YF	ND	4,686,442	8/11/87	Radomski	320	17	
YF	NE	6,061,638	5/9/00	Joyce	702	63	·
YF	NF	6,359,442	3/19/02	Henningson et al.	324	426	
YF	NG	5,541,489	7/30/96	Dunstan	320	2	
YF	NH	6,424,157	7/23/02	Gollomp et al.	324	430	·
YF	NI	6,534,993	3/18/03	Bertness	324	433	
YF	NJ	6,544,078	4/8/03	Palmisano et al.	439	762	
YF	NK	6,556,019	4/29/03	Bertness	324	426	
YF	NL	6,566,883	5/20/03	Vonderhaar et al.	324	426	
YF	NM	6,586,941	7/1/03	Bertness et al.	324	426	
YF	NN	6,597,150	7/22/03	Bertness et al.	320	104	
YF	NO	2002/0171428	11/21/02	Bertness	324	426	
YF	NP	6,263,268	7/17/01	Nathanson	701	29	

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_		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	NQ	WO 00/67359	11/9/00	WIPO			. <b>X</b>
YF	NR	WO 01/51947	7/19/01	WIPO			x
YF	NS	WO 98/04910	2/5/98	WIPO			х
YF	NT	WO 00/62049	10/19/00	WIPO			Х
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

NW "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/06577.

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FORM F	PTO-14	49					Atty C382	7. Dock	et N 80	lo.:	Serial No.: 10/804,402
-	LI	ST OF PATENTS A APPLICANT' DISCLOSU	S IN	FORMATIO	NC	FOR	Appl	licant:			
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YF	OA	5,410,754	4/1	995	Klo	tzbach et al.		370		85.13	
YF	ОВ	5,654,623	8/1	997	Shiga et al.			320		48	
YF	ос	6,055,468	4/2	000	Kaman et al.			701		29	
ÝF	OD	2003/0025481	2/2	003	Bertness			320		155	
YF	OE	5,313,152	5/1	5/1994 Wo		niak et al.		320		118	
ΥF	OF	2003/0184306	10/	2003	Ber	tness et al.		324		426	
YF	OG	2004/0000891	1/2	004	Rai	Raichle et al.		320	$\perp$	107	
YF	ОН	3,750,011	7/1	973	Kre	Kreps		324		29.5	
YF	OI	5,159,272	10/	1992	Rac	et al.		324		429	
YF	OJ	5,325,041	6/1	994	Bri	ggs		320		44	
YF	OK	6,526,361	2/2	003	Jon	es et al.		702		63	
YF	OL	6,667,624	12/	2003	Rai	chle et al.		324		522	
YF	ОМ	2002/0010558	1/2	4/02	Ber	tness et al.		702		63	
YF	ON	2002/0176010	11/	28/02	Wal	lach et al.		348	$\perp$	229.1	
ΥF	00	3,652,341/	3/2	8/1972	Hal	sall et al.		136		176	
YF	OP	5,747,967	5/5	/1998	1	jadi et al.		320		39	
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YF	OQ	JP 11103503 A		4/1999		Japan					· X(Abstract)
YF	OR	WO 01/59443		·		WIPO					Х

		Document No	Date	Country	Class	Sub Class	Translation Yes No
YF	OQ	JP 11103503 A	4/1999	Japan			·X(Abstract)
YF	OR	WO 01/59443	2/9/01	WIPO			Х
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"Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/07837. OW YF

**EXAMINER:** DATE CONSIDERED: 12/15/2006 /Yalkew Fantu/

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FORM I	PTO-14	49		•			C38	y. Docket 32.12-0180	NO.:	Serial No.: 10/804,402	
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YF	OA	6,628,011	9/3	0/03	Droppo et al.			307	. 43		
YF	ОВ	5,780,980	7/1	4/98	Nai	.to		318	139		
YF	ос	5,412,308	5/2	/95	Bro	משכ		323	267	·	
YF	OD	6,222,342	4/2	4/01	Egg	ert et al.		320	105		
YF	OE	6,679,212	1/2	0/04	Kel	ling		123	179.28		
YF	OF	5,850,113	12/	15/98	Wei	mer et al.		307	125		
YF	OG	6,242,887	242,887 6/5/01		Bur	Burke		320	104		
YF	ОН	6,238,253	5/2	5/29/01		Qualls		439	759		
YF	OI	4,056,764	11/	11/1/77		Endo et al.		320	3		
YF	OJ	6,005,759	12/	21/99	Har	t et al.	361	66			
YF	ок	6,064,372	5/1	6/0ò	Kah	ikoska		345	173		
YF	OL	5,323,337	6/2	1/94	Wil	son et al.		364	574	<u> </u>	
YF	OM	5,978,805	11/:	2/99	Car	son		707	10		
YF	ON	6,600,815	7/2	9/03	Wal	ding		379	93.07		
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YF	OP	6,618,644	9/9		Bea			700	231		
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YF	OA	5,982,138	11/	9/99	Kri	ieger		320	105		
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/Yalkew Fantu/ EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

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12/15/2006

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et a	ıl.
	Filing Date	Group Art:
	March 18 2004	

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YF OW "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/27696.

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